

21FL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Doc. No. A01507
KRJ/sd

In re application of:
Robert David Solomon, et al : Confirmation No. 7597

Serial No.: 10/808,808 : Group Art Unit: 1711

Filed: 03/24/04 : Examiner: to be assigned

For: Aqueous Polymer Composition And
Coating Produced Therefrom

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with Applicants' duty of disclosure under 37 CFR §§ 1.97 to 1.98, Applicants submit herewith substitute for form 1449/PTO "Information Disclosure Statement by Applicant". Inclusion of a document in this Information Disclosure Statement or on its attached form PTO-1449 is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of the Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 CFR 1.56(a), exists.

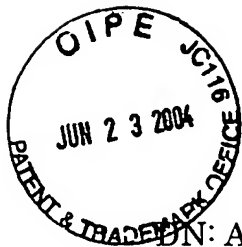
As per the OG, August 05, 2003, waiver of Rule 37 CFR 1.98 (a) (2) (i), Applicants have not submitted any copies of U.S. Patents and Published Applications since this application was filed after June 30, 2003.

The Examiner is requested to review the references cited herein and to make the references cited of record in the present application.

Respectfully submitted,

Kim R. Jessum
Attorney for Applicants
Reg. No. 43,694
Tel. No. (215) 592-3689

Rohm and Haas Company
100 Independence Mall West
Philadelphia, PA 19106-2399



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DN: A01507

KRJ/sd

In re application of:

Robert David Solomon, et al

:

Confirmation No. 7597

Serial No.: 10/808,808

:

Group Art Unit: 1711

Filed: 03/24/04

:

Examiner: to be assigned

For: Aqueous Polymer Composition And
Coating Produced Therefrom

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

Information Disclosure Statement

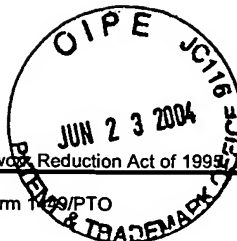
List of Art Cited (Form PTO 1449)

Cited Art

Postcard

June 21, 2004
Date

Shelly Selman
Signature



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1009/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/808,808
Filing Date	3/24/04
First Named Inventor	Robert D. Solomon
Art Unit	1711
Examiner Name	
Attorney Docket Number	A01507

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,526,945	07/02/85	Carlson et al	
		US- 4,680,352	07/14/87	Janowicz et al	
		US- 4,680,354	07/14/87	Lin et al	
		US- 4,694,054	09/15/87	Janowicz	
		US- 4,886,861	12/12/89	Janowicz	
		US- 5,028,677	07/02/91	Janowicz	
		US- 5,247,000	09/21/93	Allan	
		US- 5,264,530	11/23/93	Darmon et al	
		US- 5,362,826	11/08/94	Berge et al	
		US- 5,521,266	05/28/96	Lau	
		US- 5,587,431	12/24/96	Gridnev et al	
		US- 5,602,220	02/11/97	Haddleton et al	
		US- 5,721,330	02/24/98	Ma	
		US- 5,756,605	05/26/98	Moad et al	
		US- 5,770,665	06/23/98	Haddleton et al	
		US- 5,962,609	10/05/99	Haddleton et al	
		US- 2002/0058752 A1	05/16/02	Lau et al	
		US- 2002/0120050 A1	08/29/02	Fasano et al	
		US- 2002/0161098 A1	10/31/02	Lau et al	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP0196783 A1	10/08/86	E. I. Dupont		
		EP0199436 A1	10/29/86	E. I. Dupont		
		WO87/03605	06/18/87	Hawthorne, David		
		WO02/22691 A2	03/21/02	Rohm and Haas		
		WO02/22750 A2	03/21/02	Rohm and Haas		
		WO96/15158	05/23/96	Moad, Graeme		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	2	of	2
-------	---	----	---

Complete if Known

Application Number	10/808,808
Filing Date	3/24/04
First Named Inventor	Robert D. Solomon
Art Unit	1711
Examiner Name	
Attorney Docket Number	A01507

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ <i>(if known)</i>				
		WO 97/34934	09/25/97	E. I. DuPont		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.